

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kenji Sato

Serial No.: 09/928,663

Group Art Unit: Unknown

Filing Date: August 14, 2001

Examiner: Unknown

For: SEMICONDUCTOR LASER AND SYSTEM FOR AND METHOD OF PERFORMING
DIGITAL OPTICAL COMMUNICATIONS USING SUCH SEMICONDUCTOR
LASER

Assistant Commissioner of Patents
Washington, D.C. 20231

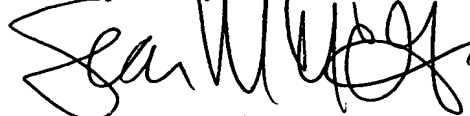
SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated September 18, 2001 (copy attached), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$880 to cover the \$710 application filing fee, the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn
Registration No. 34,386

Date: 11/6/01

McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

NEC01P165-Twb



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SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Kenji Sato to NEC Corporation for the above-identified patent application. The recording fee of \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

Sean M. McGinn
Registration No. 34,386

Date:

11/6/01
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,663	08/14/2001	Kenji Sato	NEC0IP165-TWb

CONFIRMATION NO. 6411

21254
MCGINN & GIBB, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA, VA 22182-3817

FORMALITIES LETTER



OC000000006571767

Date Mailed: 09/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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03 FC:105	30.00 CH	100.00 OP